



APPLICATION FOR ESTABLISHMENT OF A NEW SCHEME/ FUNDS UNDER A SCHEME

PRS Provider :
 Name of Scheme and/Fund(s) :
 Adviser :
 Date of Submission to SC :

	Requirements	Compliance Check		SC's Remarks
		Check	Remarks	
1.	Cover letter <ul style="list-style-type: none"> Approval sought, including particulars of the proposal(s); Details of any departure from the relevant guidelines, together with relevant justifications and waiver/exemption sought for such departure. Where waiver/exemption has been obtained, to provide details of such waiver/exemption; and Other approvals, or clearance obtained/ pending (if applicable). <i>(for multiple applications, all proposals may be included in 1 cover letter)</i>			
2.	Declaration <ul style="list-style-type: none"> A declaration letter, which declares that the proposal is in full compliance with the <i>Guidelines on Private Retirement Scheme</i> and the <i>Capital Markets & Services Act 2007</i> (as per the specimen in Appendix II, Schedule E of the <i>Guidelines on Private Retirement Scheme</i>). <i>(for multiple applications, declarations may be included in 1 declaration letter)</i>			

	Requirements	Compliance Check		Remarks of the SC
		Check	Remarks	
3.	Supporting Documents Application Form (SC/PRS-NEW) <ul style="list-style-type: none"> Information/details must be appropriately and accurately filled up. Declaration to be appropriately signed by the PRS Provider/adviser. 			
4.	Deed <ul style="list-style-type: none"> Registrable and lodgement copies of the deed, submitted as per the requirement of paragraphs (8) and (9), Appendix III – Schedule E of the <i>Guidelines on Private Retirement Scheme</i>. 			
5.	Product Disclosure Statement <ul style="list-style-type: none"> Registrable copies of the disclosure document, submitted as per the requirement under Chapter 13 of <i>Guidelines on Private Retirement Scheme</i>. 			
6.	Fees and Charges <ul style="list-style-type: none"> Fee computation checklist. Payment made to 'Suruhanjaya Sekuriti/ Securities Commission'. <i>(for multiple applications, fees can be accumulated into 1 checklist)</i>			
7.	Other Attachments (i) (ii) <i>(Please specify)</i>			

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 Name:
(Person responsible for the submission documents)
 Date:

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 Name:
(Chief Executive Officer of the PRS Provider)
 Date:

Please tick the relevant box:

Complete

Not complete

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Desk Officer

Date:

Date: